

VOTE COUNTING MINUTES

ANNUAL GENERAL INVESTORS MEETING FOR FISCAL YEAR 2024

Organizational name: DFVN CAPITAL APPRECIATION FUND (DFVN-CAF)

Registered address: 11th Floor, 149-151 Nguyen Van Troi, Ward 11, Phu Nhuan District, Ho Chi Minh City

Certificate of registration for public fund establishment No. 34/GCN-UBCK issued by the State Securities Commission on January 3, 2019.

Today, at 15h30 on April 24, 2025 at the head office of Dai-ichi Life Vietnam Fund Management Co., Ltd. - 11th Floor, 149-151 Nguyen Van Troi, Ward 11, Phu Nhuan District, Ho Chi Minh City, we include:

Vote Counting Board

1. Ms. Tran Thi Anh Tram, FSO Senior Manager of DFVN – Head of Board
2. Mr. Bui Huy Manh, CS&IR Assistant Manager of DFVN - Member

Vote Counting Supervisory Board

1. Ms. Vo Hong Nhung – Representative of HSBC Bank (Vietnam) Limited
2. Ms. Le Kieu To Uyen – Representative of Investor

I. Purpose and voting issues for the adoption of the Resolution

I.1 Purpose of voting: Approve the contents stated in the Report of the Annual General Meeting of Investors for the Fiscal year 2024.

I.2 Voting issues for the adoption of the Resolution

Content 1: Approve the 2024 audited Financial Statements of the DFVN-CAF

Content 2: Approve the 2025 budget plan for the operation of the Fund Representative Board of the DFVN-CAF

- ❖ Approve the remuneration of the Fund Representative Board of VND 5,000,000 /month/person;
- ❖ Approve the total of 2025 remuneration of the members of the Fund Representative

Board of the DFVN-CAF is: VND 180,000,000

Content 3: Approve the contents of authorization for the Fund Representative Board

3.1 Approve the authorization of the Fund Representative Board to select one (01) audit company to perform auditing for the DFVN-CAF in Fiscal year of 2025

3.2. Approve the authorization of the Fund Representative Board to decide the price of the fund management services to be paid to the Fund Management Company

The Fund Representative Board of the DFVN-CAF is authorized by the General Investors Meeting to decide the price of the Fund Management Service to be paid to the Fund Management Company with the following authorization contents:

- (a) The Fund Representative Board shall decide to change (increase, decrease) the price of the Fund Management Service but not exceed $1.5\% \times \text{NAV/year}$ as stipulated in the DFVN-CAF's Fund Charter (Article 61.1).
- (b) The specific price of Fund Management Services decided by the Fund Representative Board shall be disclosed by the Fund Management Company in accordance with regulations, in accordance with the contents specified in the Prospectus for application and implementation.
- (c) The specific time of application of the Fund Management Service Price will be considered by the Fund Representative Board on the basis of working with the Fund Management Company or the proposal of the Fund Management Company.
- (d) The Fund's Representative Board may decide on the Fund Management Service Price up to two (02) times in the period from the effective date of this General Meeting Resolution to the effective date of the next Investor's General Meeting Resolution.

3.3 Approve the authorization for the Fund's Representative Board to decide on the service price paid to the Supervisory Bank.

Content 4: Approve amendment, supplement of the DFVN-CAF Charter

II. Vote counting results

II.1 Number of Investors Participating in the Annual General Meeting of Investors for Fiscal year 2024

Number of Participating Investors	Number of Fund Certificates of Participating Investors	Participation Rate (%)
40	4,376,727.82	53.577%

II.2 Content 1: Approve the 2024 audited Financial Statements of the DFVN-CAF

Voting option	Number of Investors	Number of Voting Sheets	Voting Rate (%)
Agree	33	4,358,511.35	99.584%
Disagree	0	0.00	0.000%
No Opinions	7	18,216.47	0.416%
Invalid	0	0.00	0.000%
Total	40	4,376,727.82	100.000%

Result: Content 1 was approved with an approval rate of 99.584 % of the total votes of all Investors participating in the Meeting.

II.3 Content 2: Approve the contents of authorization for the Fund Representative Board

Voting option	Number of Investors	Number of Voting Sheets	Voting Rate (%)
Agree	33	4,358,511.35	99.584%
Disagree	0	0.00	0.000%
No Opinions	7	18,216.47	0.416%
Invalid	0	0.00	0.000%
Total	40	4,376,727.82	100.000%

Result: Content 2 was approved with an approval rate of 99.584% of the total votes of all Investors participating in the Meeting.

II.4 Content 3: Approve the contents of authorization for the Fund Representative Board

Voting option	Number of Investors	Number of Voting Sheets	Voting Rate (%)
Agree	33	4,358,511.35	99.584%
Disagree	0	0.00	0.000%
No Opinions	7	18,216.47	0.416%
Invalid	0	0.00	0.000%
Total	40	4,376,727.82	100.000%

Result: Content 3 was approved with an approval rate of 99.584% of the total votes of all Investors participating in the Meeting.

II.5 Content 4: Approve amendment, supplement of the DFCN-CAF Charter


Voting option	Number of Investors	Number of Voting Sheets	Voting Rate (%)
Agree	33	4,358,511.35	99.584%
Disagree	0	0.00	0.000%
No Opinions	7	18,216.47	0.416%
Invalid	0	0.00	0.000%
Total	40	4,376,727.82	100.000%


Result: Content 4 was approved with an approval rate of 99.584% of the total votes of all Investors participating in the Meeting.

The counting of votes ends at 16... h. 25' on April 24, 2025.

Vote Counting Board

(Signature and full name)


Trần Thu Anh Trâm


Bùi Huy Mạnh

Vote Counting Supervisory Board

(Signature and full name)



VÕ HỒNG NHUNG

Trưởng Phòng

Bộ Phận Ngân Hàng Giám Sát



Lê Kiều Cố Uyên

Representative of Dai-ichi Life Vietnam Fund Management Company Limited 



Trần Châu Danh
CHIEF EXECUTIVE OFFICER